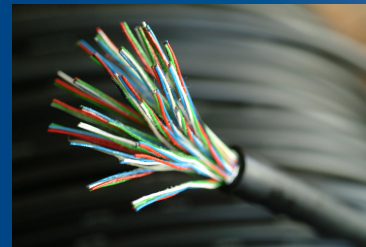




JACOBS  
UNIVERSITY

# Wireline Physical-Layer Key Generation

Werner Henkel, Oana Graur,  
and Uwe Pagel  
WSPLC workshop, Prague, 2017



# Perfect secrecy and the wiretap channel

Shannon's perfect secrecy:

$$H(M|C) = H(M) \text{ or equivalently } I(M; C) = 0$$

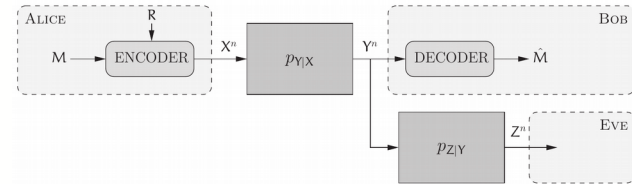
Strong and weak secrecy:

$$\lim_{N \rightarrow \infty} I(\mathbf{M}; \mathbf{C}) = 0 \text{ and } \lim_{N \rightarrow \infty} \frac{1}{N} I(\mathbf{M}; \mathbf{C}) = 0$$

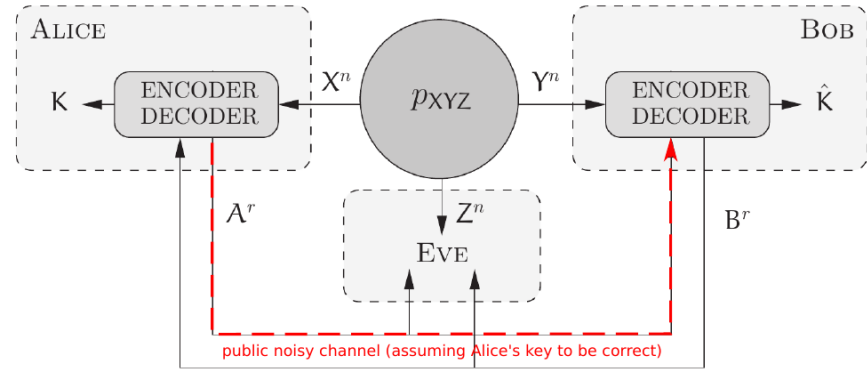
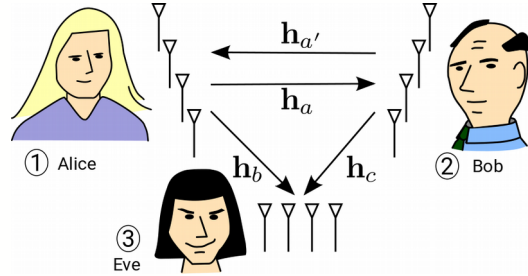
Secrecy capacity for Wyner's wiretap channel:

$$C_s = \max_{P_x} [I(X; Y) - I(X; Z)]$$

M. Bloch and J. Barros, Physical-Layer Security: From Information Theory to Security Engineering. Cambridge University Press, 2011.



# Physical layer key generation



M. Bloch and J. Barros, Physical-Layer Security: From Information Theory to Security Engineering. Cambridge University Press, 2011.

## Secret key capacity

$$I(X; Y) - \min\{I(X; Z), I(Y; Z)\} \leq C_s \leq \min\{I(X; Y), I(X; Y|Z)\}$$

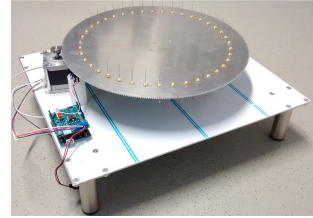
## Typical scenario:

Wireless TDD (time-division duplexing) providing common randomness through channel reciprocity (apart from hardware deficiencies and uncorrelated noise asking for key reconciliation schemes)

# Physical layer key generation

Other options?

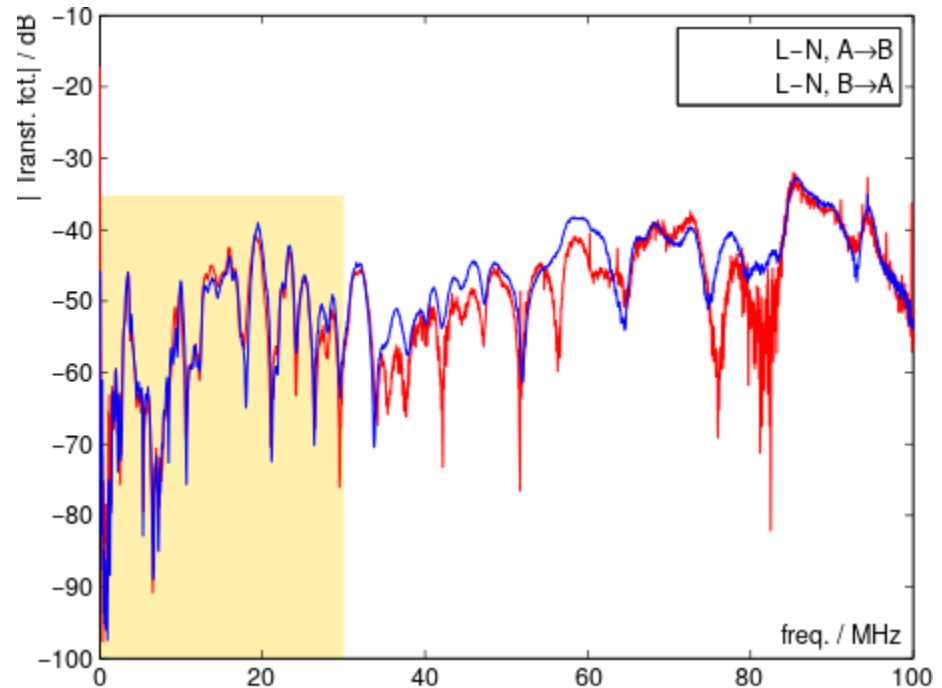
How about Wireless FDD (frequency division duplexing)  
– possibly solution through DoA estimates



How about Power Lines  
and Twisted Pairs ?

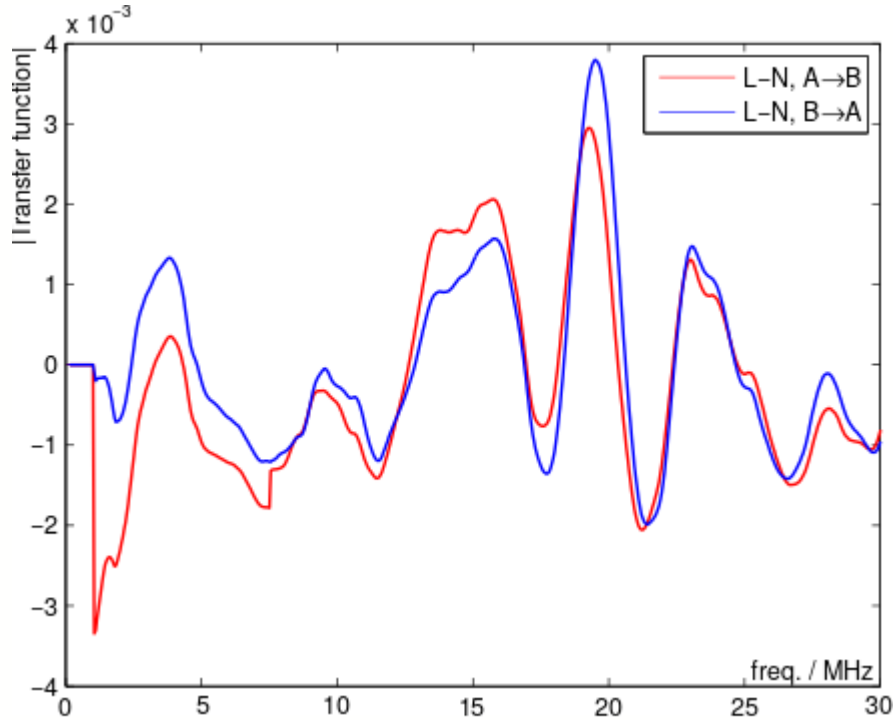
Transfer function between  
power outlets ?

Usable range with decent  
reciprocity property  
seems to be up to ~30 MHz



# Physical layer key generation with a power line transfer function

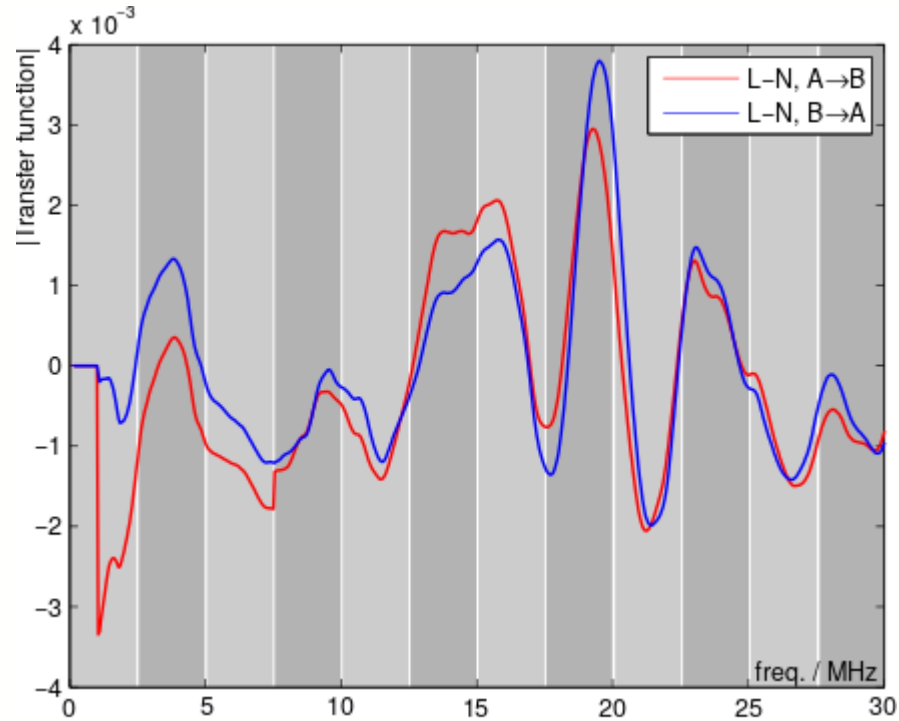
The 30 MHz range after calibration, smoothing, and leveling



# Physical layer key generation with a power line transfer function

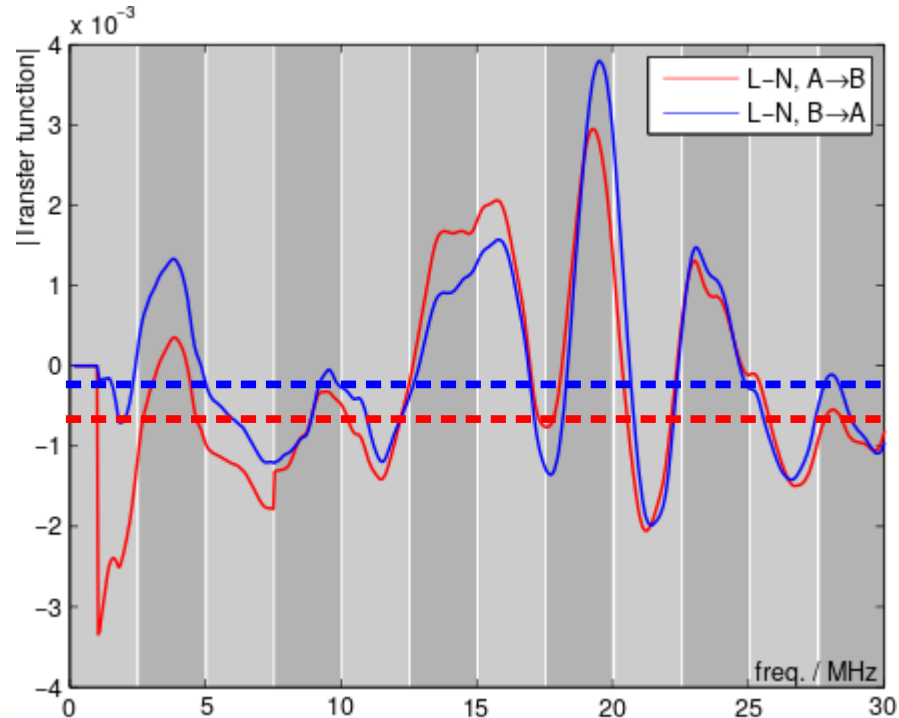
The 30 MHz range after calibration, smoothing, and leveling

Quantization of the frequency range to localize local maxima



# Physical layer key generation with a power line transfer function

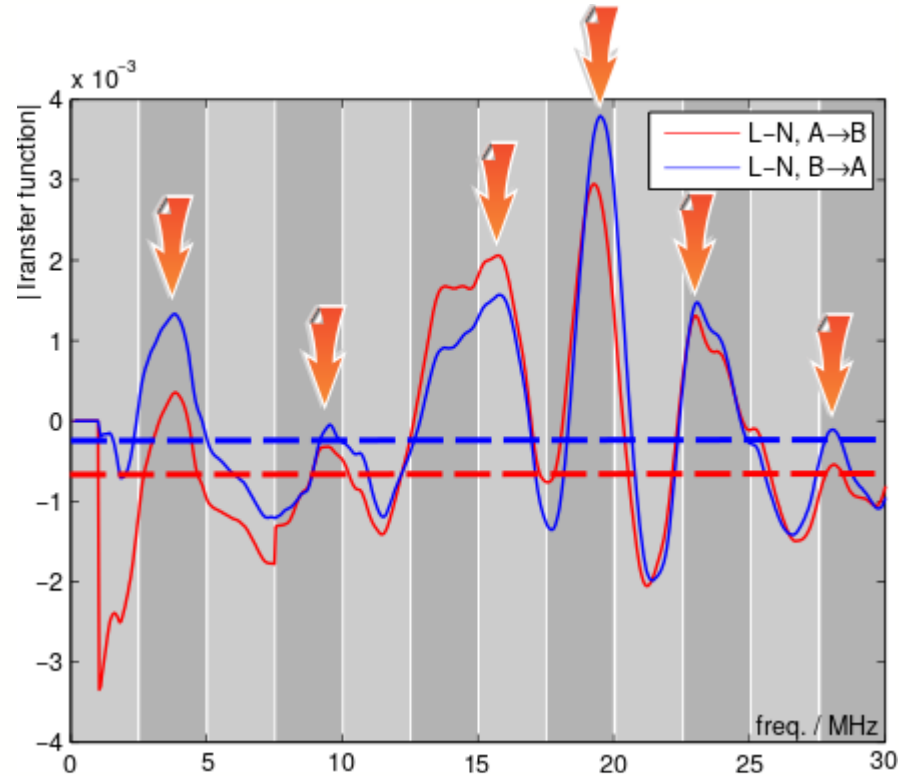
The 30 MHz range after calibration, smoothing, and leveling



Introduce thresholds ensuring an identical number of local maxima

# Physical layer key generation with a power line transfer function

The 30 MHz range after calibration, smoothing, and leveling



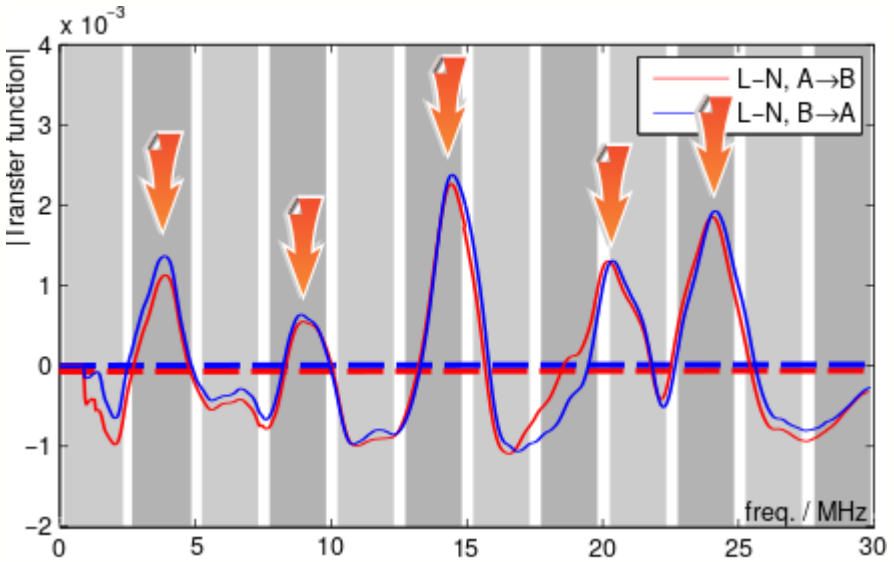
Mark the maxima and use a translation table of maxima locations to key patterns

List length in the example

$$\binom{n}{e} = \binom{12}{6}$$

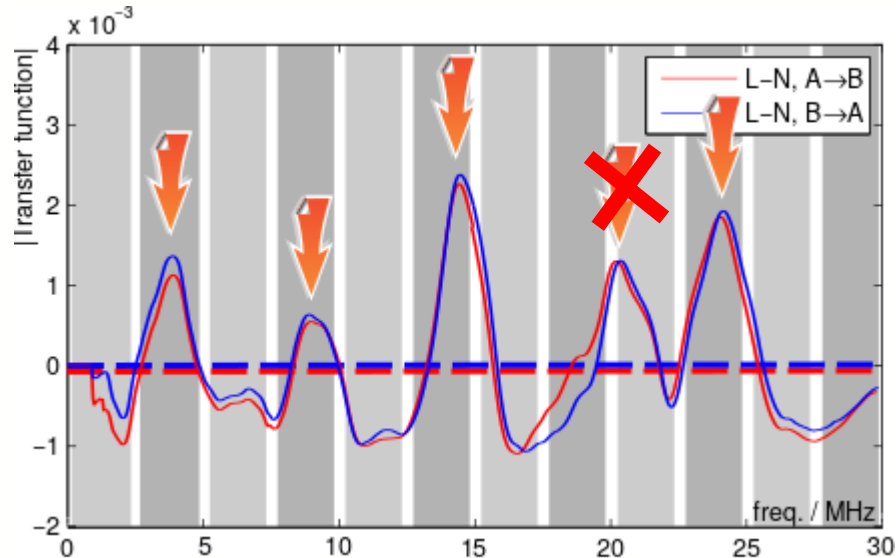
# Physical layer key generation with a power line transfer function

## Key reconciliation with guard bands



# Physical layer key generation with a power line transfer function

## Key reconciliation with guard bands



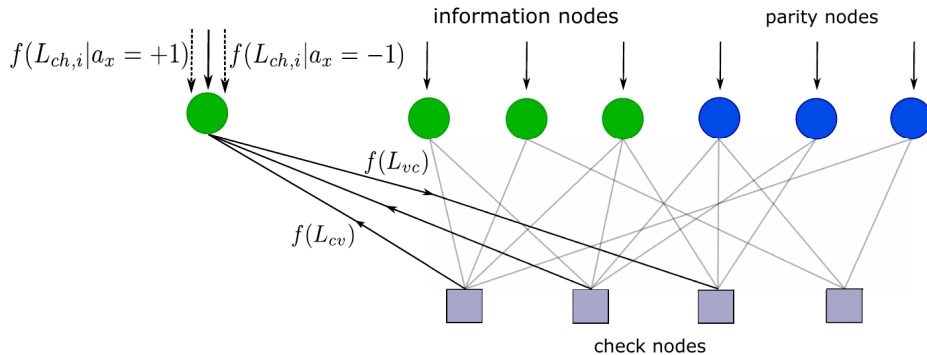
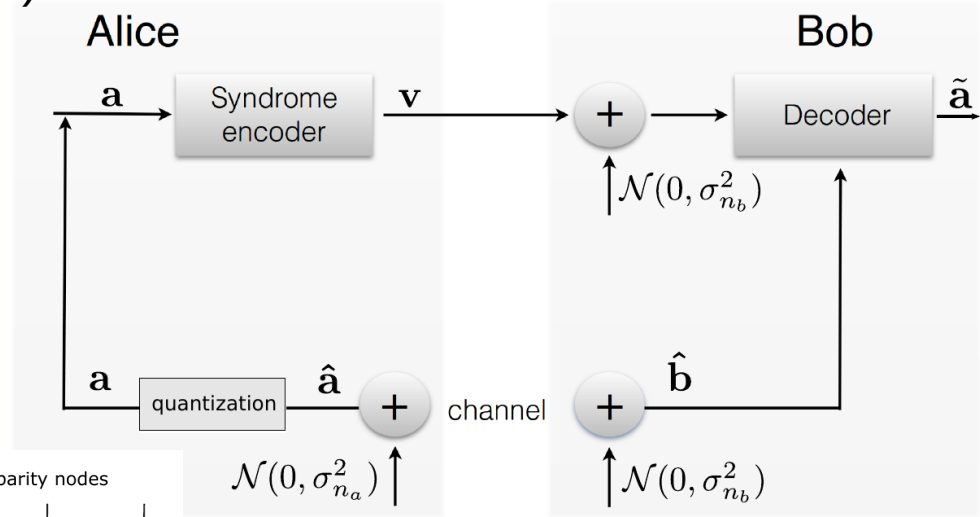
If local maxima end up in a guard band, irrespective of at Alice's or Bob's side, that maximum will not be considered for key generation.

# Physical layer key generation with a power line transfer function

Key reconciliation with Slepian-Wolf coding  
(to be combined with guard intervals)

Slepian-Wolf bound:

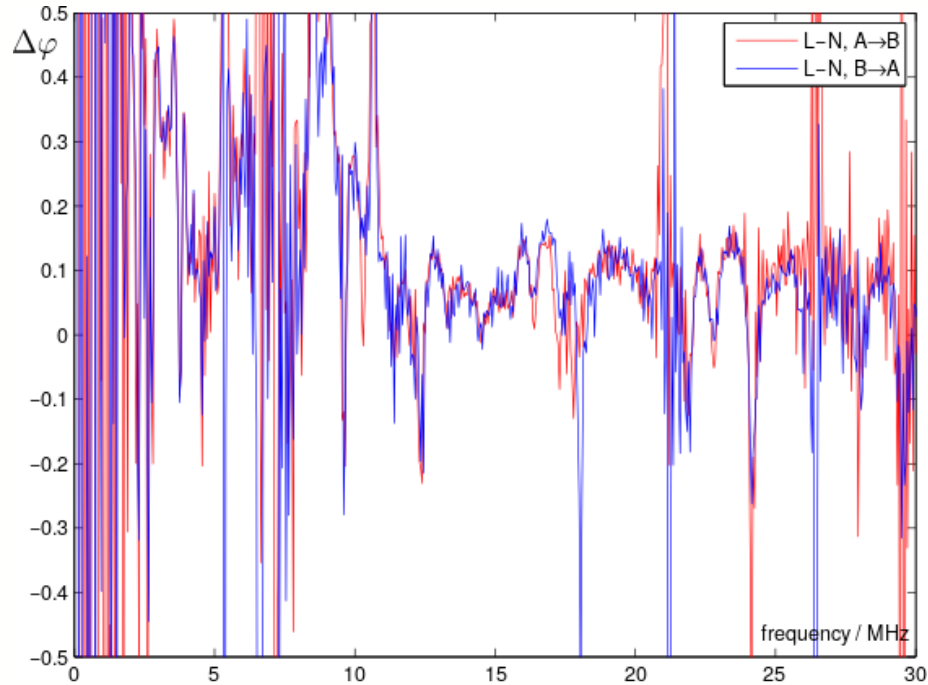
$$M_p \geq H(\mathbf{a}|\hat{\mathbf{b}})$$



# Physical layer key generation with a power line transfer function

How about phase properties – are they reciprocal, too?

We show the phase differences between neighboring frequency samples, i.e., similar to the [group-delay](#).



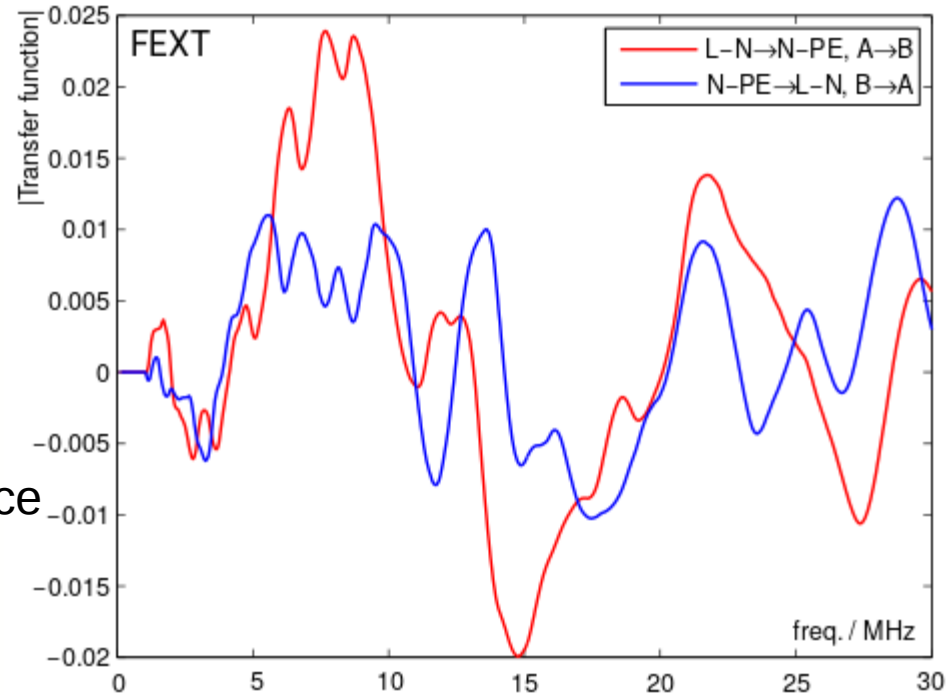
Some reciprocity, but less usable for key generation purposes.

# Physical layer key generation with a power line transfer function

How about FEXT functions – are they reciprocal?

Mostly not!

Reason: effect of bridge taps to other appliances, switches, sockets, ... obviously stronger influence on FEXT than on the transfer function.



# Physical layer key generation with a power line transfer function

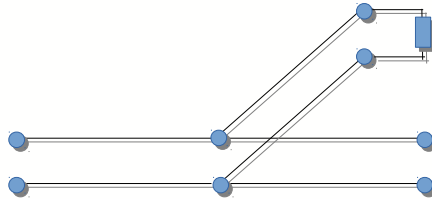
Reciprocal ... but need



common randomness!

How to make a more or less deterministic powerline connection random?

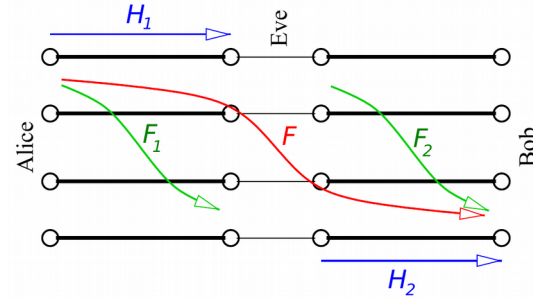
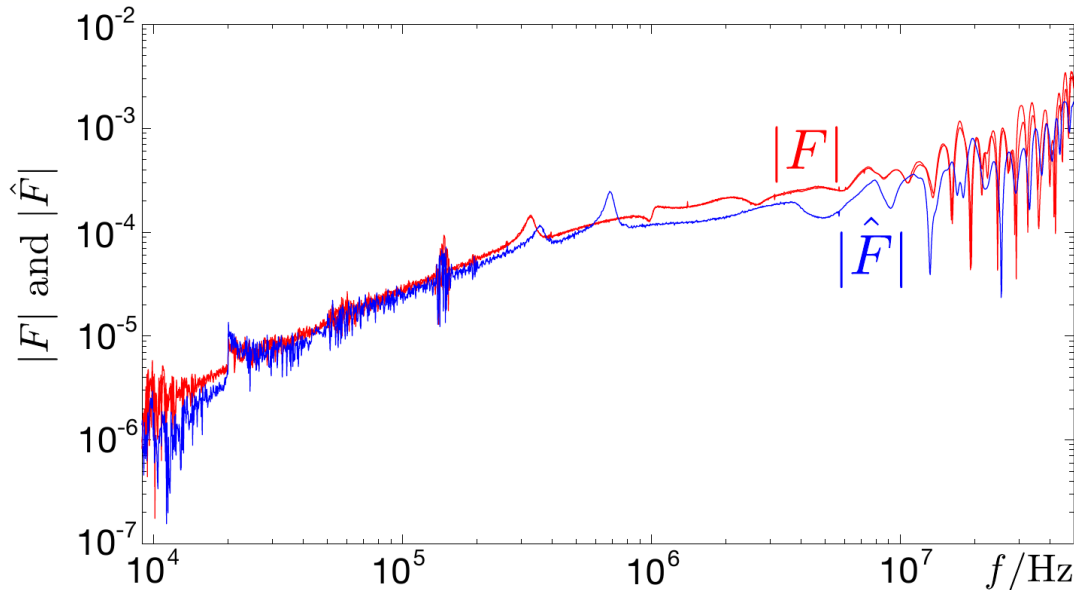
- Switched appliances change terminations, but only occasionally.
- One might add bridge taps (with random terminations) artificially.



# Physical layer key generation for a single loop, e.g., Ethernet

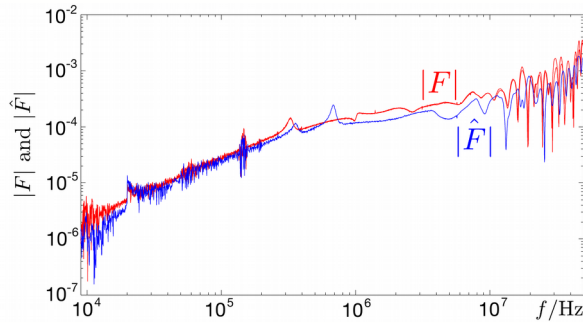
Using the **FEXT function** with its known randomness across frequency

$$F \approx \hat{F} = F_1 \cdot H_2 + H_1 \cdot F_2$$



Randomization of FEXT by random coupling to other pairs or to the shield.

## To summarize...



Powerline modems determine the transfer function, anyhow, hence, key information is readily available.

Reciprocity is given also in power line and Ethernet connections, be it in the transfer or FEXT functions, respectively.

